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Personal Particulars ▶

(All fields marked with * are mandatory)

* (Mr/Mrs/Ms)
Surname: _____

* Tel.: _____

* HKID No. Or
Passport No.: _____
(Only first 4 characters. A123)

* Date of birth
(DD/MM/YY) _____

* First Name: _____


* Email: _____
(Remarks: Acknowledgement of enrollment and login information
will be sent to this email address)

* Nationality: _____
(If Passport holder)

Company: _____

Position: _____

Online Store



store.kaplan.com.hk
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Course Package ▶

	Full Package	Light Package	
	<ul style="list-style-type: none">60-day subscription periodWith Online Video, Question Bank Course Notes& Mock Exams (Print)Trainer's annotation captured	<ul style="list-style-type: none">60-day subscription periodHundreds of practice Q&A except Paper 16Score reportOptional to add-on Course Notes (Print)	
Exam Paper	Course Fee	Start Date (DD/MM/YY)	Exam Registration Date
Paper 1 Fundamentals of Securities and Futures Regulation	<input type="checkbox"/> HK\$6,500	____/____/____	____/____/____
Paper 2 Regulation of Securities	<input type="checkbox"/> HK\$6,500	____/____/____	____/____/____
Paper 3 Regulation of Derivatives	N/A	____/____/____	____/____/____
Paper 6 Regulation of Asset Management	<input type="checkbox"/> HK\$5,900	____/____/____	____/____/____
Paper 7 Financial Markets	N/A	____/____/____	____/____/____
Paper 8 Securities	N/A	____/____/____	____/____/____
Paper 16 Sponsors (Representatives)	N/A	____/____/____	____/____/____

Certificate of course completion: ☐ HK\$50
(applicable to Full package only)

Shipping request (if needed) ☐ Hong Kong / Macau \$300 ☐ Others \$600

Delivery Address: _____
(Please provide a weekday daytime delivery
address and ensure that either yourself or an
authorized person will receive the order)

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Payment Method ▶

☐ Cheque No _____
(payable to "Kaplan Financial (HK) Limited")

☐ Cash ☐ EPS ☐ FPS ☐ PayMe

☐ Credit Card Installment (In person)

☐ Credit Card (full payment) ☐ VISA ☐ AMEX ☐ MasterCard

Card Number _____ - _____ - _____ - _____ Expiry Date _____/____

Card Holder _____ Signature _____
(as appears on Credit Card)

Total Payable

HK\$

Interest-free installment payment:

HSBC/Standard Chartered Credit Card holders are eligible for 3/6 months interest free instalment when enrol over \$6,000.

- To enjoy the Interest Free Instalment Plan, enrolment can be done at Kaplan Centre in person or via online store using HSBC / Standard Chartered credit card
- AE Credit Card holders are eligible for 12 months interest free instalment when enrol over \$6,000.**
- Credit cards bearing the American Express name, brand or logo issued by its licensees in Hong Kong are eligible for the Interest Free Instalment Plan. Corporate Cards, and US Dollars Cards issued in Hong Kong by American Express International, Inc. and all American Express Cards issued outside of Hong Kong are not eligible for this Interest-free Instalment Plan. Please check with Kaplan for details.
- To enjoy the Interest Free Instalment Plan, enrolment must be done at Kaplan Centre in person with American Express credit card.

Declarations

- I declare that all information provided in this form and all attached documents are, to the best of my knowledge, accurate and complete.
- I have understood and hereby agree to provide relevant proof of my academic qualifications and work experience upon request from Kaplan Financial at any time.
- I consent that I will abide by all the Rules, Regulations, and Code of Conduct of your company.
- I have read, understood and hereby agree to the terms and conditions stipulated on the brochure, enrollment form and on Kaplan Financial's website.
- I have met the system requirements of the eLearning platform and the testing result is satisfactory.
- I wish to receive Kaplan Information by email in the future.

Signature: _____ Date: _____



TERMS AND CONDITIONS - PLEASE READ CAREFULLY

Payment Methods

- 1) VISA/ Master / Amex Card
Payment can be made in person, by fax, email or by mail (see address at the bottom) or via online store.
- 2) Cash / EPS
Fees can be paid by cash or EPS at our E-Tech Centre Campus.
- 3) Cheque
Fees can be paid by crossed cheque made payable to "Kaplan Financial (HK) Limited". Please mail the cheque and all required documents to us. Kaplan will not be responsible for any loss of payment sent by mail. If you are enrolling a course that will commence in less than 7 working days, you are advised against using cheque in view of delay arising from postage delay and bank clearance.
- 4) FPS / PayMe
Fees can be paid by FPS or PayMe at our E-Tech Centre Campus or via our online store (<https://store.kaplan.com.hk/>).

Enrollment Policy

1. Enrollment will only be recognized when accompanied by FULL payment.
2. All fees do not include courier of materials. Customers are required to collect the materials.
3. Student will receive their username and password one day before the indicated start date of the online subscription. (Kaplan office working days: Mon – Fri, 9am -6pm)
4. Activation of your online account takes 2 business days. Do not choose a start date that falls on weekend or Hong Kong's public holiday <http://goo.gl/O6zRtw>. Inappropriate start date chosen will be postponed to the earliest start date available without notice.

Deferral, Cancellation Policy

5. No refunds will be issued for cancellation.
6. For the course package, no deferral or no transferal can be arranged.

Attendance Policy (applicable to Full package only)

7. Certificate of course completion will be issued upon request if participants obtain a minimum of 70% attendance.
8. Kaplan Financial (HK) Ltd. reserves the right not to issue the certificate of course completion for the applicants who do not have 70% of above attendance rate according to our record and no refund will be given.

Online Access

9. You agree to meet the minimum system requirements set forth for all online features included in your registered course or product.
10. Activation of online account takes 2 business days. Inappropriate start date chosen will be postponed by Kaplan without notice.
11. Expired subscription, users who have left the employment of a company or change of exam date(s) are not eligible for refund, cancellation or extension of any kind, regardless of time spent in the course, time remaining, or whether or not users have accessed the course.
12. All online subscriptions commence on 00:00 of starting date and expire at 23:59 of ending date (all in HK time).
13. Subscription period starts to run from the indicated start date. No alteration of the start date is allowed, regardless of time spent in the course and whether or not you have accessed the course.

System Validation

14. User system validation is required which aims to give you the opportunity to fully test eLearning and Online Question Bank and ensure satisfactory viewing result. The process should take no more than 5 minutes. Kaplan will put on hold your enrollment until you have fully tested eLearning and the result was satisfactory. View <https://bit.ly/3xR1Tnz> on the computer which you will access eLearning and Question Bank.

Shipment Arrangement

15. Availability: The shipping time is around 2-4 business days. Business days are Monday through Friday. Please call +852 2526 3686 for details.
16. Disclaimer: Orders that are shipped to countries outside of Hong Kong may be subject to import taxes, customs duties and fees levied by the destination country. Recipient of an international shipment may be subject to such fees, which are levied once a shipment reaches the destination country. Additional charges for customs clearance must be borne by the recipient. Kaplan has no control over these charges and cannot predict what they may be. When customs clearance procedures are required, it can cause delays beyond our original delivery estimates. Kaplan will not issue you a refund under such circumstances. For local delivery (residential address provided only), courier will remind you by phone before delivery. You are to ensure that authorized personnel will receive materials on the delivery date. If no one is there to receive parcel, it will be returned to our office on the following working day. You will then be responsible for self collection at Kaplan E-Tech Centre Campus as Kaplan will not re-send the course materials. Extra fees will be stipulated if delivery to local remote or overseas address.

Personal Data Protection

17. Your personal data is collected and used by us for processing your application of admission, and for registration, administrative, verification, research, statistical and direct marketing purpose. We may also collect personal information required to satisfy legal, government and statutory obligations. Provision of personal data is necessary, and without your personal data, we may not be able to provide you with services you require. We may share and transfer your personal data with other members of the Kaplan group and/or authorized third parties providing services to us in relation to the above purposes and/or other prescribed purposes as allowed by law from time to time. In all such circumstances, data will be treated in strict confidence.
18. Under the provision of the Personal Data (Privacy) Ordinance, applicants have rights to request access to, and to request correction of his or her personal data. Applicants wishing to amend his or her data should fill out the prescribed form and submit it to Kaplan. Applicants may opt-out from receiving our direct marketing materials at any time.

Others

19. Kaplan Financial (HK) Ltd reserves the right to vary or change any of the course content, lecturer or venue should circumstance so require.
20. For any technical problem and enquiry for online platform, please contact us at hkele@kaplan.com.
21. HKSI Public Exam should be registered by course participants themselves, further information can be obtained at: <http://www.hksi.org> or by calling HKSI enquiry hotline at: (+852) 31206220.
22. The fees printed on this brochure are not eligible for Continuing Education Fund (CEF) reimbursement.
23. Holders of valid working visas or dependency visas are welcomed to consult Kaplan for admissions to live class(es). If the existing visa does not indicate your eligibility for staying and / or studying in Hong Kong, please further consult the Immigration Department of the HKSAR Government. Kaplan's acceptance of your application for the live class(es) does not warrant for successful visa approval from the Immigration Office. Visa holder applicants and students should be careful of their full compliance with the relevant immigration laws and ordinances during their staying and studying in Hong Kong. students should be careful of their full compliance with the relevant immigration laws and ordinances during their staying and studying in Hong Kong.

I wish to receive Kaplan information by email in the future (To opt out, please tick this ☐)

FOR OFFICIAL USE ONLY:

FSO: _____ ST2000: _____ PY: _____ HK\$: _____ App#: _____ Date: _____ Handler: _____

Kaplan Financial (HK) Limited

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www.kaplan.com.hk/financial-markets

E-Tech Centre Campus

G/F to 3/F, E-Tech Centre, Nos 402-406
Hennessy Road, Wanchai, Hong Kong
(Causeway Bay MTR Exit A)

Opening Hours

Mon-Fri 11:00am – 8:00pm

Sat 9:00am – 6:00pm

(Closed on Sun & Public Holidays)